PRINTED: 12/20/2007 FORM APPROVED OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION			(X3) DATE SURVEY COMPLETED	
			A. BUILDING			С	
		344004 B. WING			03/29/2005		
	OVIDER OR SUPPLIER			10	EET ADDRESS, CITY, STATE, ZIP CODE 003 12TH ST UTNER, NC 27509		
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL .SC IDENTIFYING INFORMATION)	ID PREFI TAG	х	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOUL CROSS-REFERENCED TO THE APPROFIDERICENCY)	D BE	(X5) COMPLETION DATE
A 057	482.13(c)(2) RECEIV SETTING	E CARE IN A SAFE	А	057			
	The patient has the ri setting.	ght to receive care in a safe					
	Based on medical red document review, sta policy and procedure, provide a safe enviror the Adult Admissions follow its policy regard Specifically the hospit search when staff had patient #1 possessed person, which he use Room of ward 234. Findings include: An unannounced com	ff interviews, and review of the hospital failed to ment for all individuals on Unit (AAU) by failing to ding search and seizure. Ital failed to conduct a person direasonable suspicion that a cigarette lighter on his di to start a fire in the Quiet inplaint investigation was					
	conducted on 3-29-08 1) Medical record reveled patient #1, a admitted to ward 238 diagnoses of Schizoa Type and Antisocial Freview of the medical was transferred to ward #1 was discharge to 03-28-05. Further review of patirevealed a progress review of the ward was ward to ward was transferred to ward was transferred to ward was transferred to ward was discharge to 03-28-05.	view conducted on 3-29-05 a 48-year-old male, was					
45054705V		CUIDDUED DEDDESENTATIVES SIGNATURE			TITLE		(Y6) DATE

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE (X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA AND PLAN OF CORRECTION IDENTIFICATION NUMBER:			(X2) M A. BUII		E CONSTRUCTION	(X3) DATE SURVEY COMPLETED		
		344004		B. WING			9/ 2005	
NAME OF PROVIDER OR SUPPLIER JOHN UMSTEAD HOSP			100	ET ADDRESS, CITY, STATE, ZIP CODE 03 12TH ST UTNER, NC 27509	1 00/2	572000		
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREF TAG		PROVIDER'S PLAN OF CORRECT (EACH CORRECTIVE ACTION SHOU CROSS-REFERENCED TO THE APPRO DEFICIENCY)	LD BE	(X5) COMPLETION DATE	
A 057	staff that (patient #1's their restroom. When name) denied smokin permission to search found cigarettes in pt' revoked 24 hours. Ri infraction, escorted le There was no evidence a person search was Further review of the second note written be at 9:45pm. Staff #1's "this writer noticed squiet room. Upon inv (patient #1's name) he The ward was evacual notified". 2) On 3-29-05, the he "Timeline of Events; Incident on AAU (Add 234" was reviewed. The hospital's risk masurrounding the fire the from approximately 3 According to the doct #1 "smelled cigaret. The patients in the ro (medical record number in their room and ask that he smoked a cigaret. Further review of the revealed at approxim searched patient #1's following items: a pa	ants, when questioned, told aname) had asked to use a questioned, (patient #1's ag, and gave staff his personal effects. Staff s room. Smoke break was N and MD notified of isure cancelled as result". The interest of the medical record that conducted on patient #1. progress notes revealed a sy staff #1 and dated 3-22-05 progress note revealed smoke coming from the restigation it was found that ad set fire to the mattress. The ated and fire department was a specific progress of the patient was a specific progress of the patient was a specific progress. The progress of the patient was a specific progress. The patient was a specific progress of the patient was a specific progress of the patient progress of patient #1) had come and the patient #1) had come and the patient #1) had come and the patient #1) had come are the patient #1) had come are the patient #1 was there."	A	057				

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MUL1 A. BUILDII	TIPLE CONSTRUCTION		(X3) DATE SURVEY COMPLETED	
		344004	B. WING _		03/	C 29/2005	
NAME OF PROVIDER OR SUPPLIER JOHN UMSTEAD HOSP		s	TREET ADDRESS, CITY, STATE, ZIP COI 1003 12TH ST BUTNER, NC 27509	•			
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A 057	also revealed around found and the HCT (s still has it on his pers immediately notifies to contraband and his contraband and patient #1 denied having a lighter eview of the "Timelir patient #1 went to the approximately 6:15pr 6:50pm "The door to and a black liquid was the door. Staff opens smoke began to billow the first patient was found on the document, patien the Quiet Room on find 3) An interview was 3-29-05. Interview re round (of patient cheef the room". Staff #1 roand cigarettes in patient items into staff #2 (patient #1) had a light "common knowledge smoking and therefore."	s, 1 extension cord, 1 1 CD player. Documentation 3:30pm "A lighter is not staff #1) suspects the patient on. HCT (staff #1) he nurse (staff #2) of the oncerns about a lighter". ument, around 6:00pm staff #1 and inquired if he had a I denied that he smoked and er in his possession. Further he of Events" revealed e Quiet Room on his own at in, and at approximately the Quiet room was closed is coming from underneath he dithe door and "black w out of the Quiet Room". "Timeline of Events" ately 7:00pm patient #1 was in ward 238 secondary to ind "The patient was inhalation by the doctor and in his person". According to it #1 had set the mattress in	A 05	7			

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NAME OF PROVIDER OR SUPPLIER JOHN UMSTEAD HOSP			REET ADDRESS, CITY, STATE, ZIP COD 1003 12TH ST BUTNER, NC 27509	•	23/2000		
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A 057	physician's order woo "search his (patient # revealed that staff #1 #1's pocket after the restraint episode on volume of the patient #1 on 3-29-05, an intervent #2, who was charge informed her that the patient #1 on 3-21-05 Staff #2 reported staff patient #1 on 3-22-05 confirmed that staff s and found a pack of that she explained to privileges were revok finding contraband an According to staff #2 "screaming" about wo Staff suggested patient While patient #1 was started pouring out of a clogged toilet. In #1 stood in the doorw staff cleaned up the volume to back up into the Q she "realized black whe Quiet Room door Events the Quiet Room door Events the Quiet Room point) and as staff op "black smoke came be revealed that staff put the supervisor, count and moved the patier of the ward. Staff #2 the patient (patient #	#1, and reported that a alld have been needed to 1's) person". Interview found the lighter in patient fire and during patient #1's ward 238. Tiew was conducted with staff nurse on second shift of orted healthcare technicians by "smelled smoked" on a conducted smoke on a conducted	A 057				

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A 057	the restraint episode. time you can do a bod is during a restraint e able to provide evided called to obtain an order the able to provide evided called to obtain an order the able to provide evided called to obtain an order the able to provide evided called to obtain an order the able to provide evided to obtain an order the able to provide the	f #2 confirmed that a found on patient #2 during Staff #2 stated the "only dy search without an order" pisode. Staff #2 was not not that a physician was der for a person search. The ecord revealed no ff #2 regarding the fire on and items found, or the s' concerns about patient #1 whaving a cigarette lighter on the did on 3-29-05. The policy that are, or may be, carried without approval of the as follows: C. when asonable suspicion that the possession a weapon or elepatient dangerous to self and reasonable suspicion that the tette lighter in his as no evidence in the ocedure that a physician's ed to conduct a person administrative staff no policy that stated a set be obtained to conduct a tette lighter on his person. Its on the Adult Admissions sk due to the fire that	A	057			

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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)		X (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH COF			PROVIDER'S PLAN OF CC (EACH CORRECTIVE ACTIO CROSS-REFERENCED TO THE DEFICIENCY)	N SHOULD BE E APPROPRIATE	(X5) COMPLETION DATE
A 204	A registered nurse me the nursing care for each the nurse (standard patients). The number of the nurse (standard patients) and the nurse (standard patient) and the nurse (standard procedure rewith the nurse of the nurse (standard procedure rewith the nurse of the n	not met as evidenced by: cord review, hospital aff interviews, and review of nursing staff failed to RN supervision for 1 of 1 tient #1) on the Adult ad 234). Specifically, the aff #2) failed to follow the agarding search and seizure nable suspicion that patient her in his possession. view conducted on 3-29-05 a 48-year-old male, was on 12-8-04 with the affective Disorder, Bipolar Personality Disorder. Further I record revealed patient ward 234 on 2-25-05. arge to Granville County Jail ospital document entitled March 22, 2005; Fire ult Admissions Unit) Ward	A 204					
	the hospital's risk ma surrounding the fire to from approximately 3 According to the docu	The document, prepared by nager, outlined the events hat took place on 3-22-05:00pm until 7:00pm. ument, around 3:00pm an (staff #1) "smelled						

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A 204	cigarette smoke in a in the room stated than umber of patient #1) asked to use the bath cigarette while he was Further review of the revealed at approxim searched patient #1's following items: a pacanister of Butane, 1 spoon, 1 can of bean electrical outlet, and also revealed around found and the HCT (still has it on his persimmediately notifies to contraband and his contraband and patient #1 denied having a lighter is no evidence that stintervention regarding suspicion that patient lighter. Further review revealed patient #1 whis own at approximately 6:50pr room was closed and from underneath the	patient room. The patients at patient (medical record) had come in their room and proom and that he smoked a sthere". "Timeline of Events" ately 3:30pm staff #1 stroom and found the ck of cigarettes, 1 knife, 1 hacksaw blade, 1 fork, 1 s, 1 extension cord, 1 the CD player. Documentation 3:30pm "A lighter is not staff #1) suspects the patient on. HCT (staff #1) he nurse (staff #2) of the oncerns about a lighter". The ce in the medical record opm) that staff #2 provided concerns that patient #1 Sument, around 6:00pm staff #1 and inquired if he had a staff er in his possession. There aff #2 provided further g staff #1's reasonable #1 possessed a cigarette w of the "Timeline of Events" event to the Quiet Room on	A 204				

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A 204	Further review of the revealed at approxim placed in restraints of assaultive behavior a assessed for smoke if a lighter was found of the document, patien the Quiet Room on firm. Patient #1's medical if documentation by stated 3-22-05, the contrabated healthcare technician smoking and possibly his person. 3) An interview was a 3-29-05. Interview remained (of patient cheef the room". Staff #1 reand cigarettes in patient he items into staff #2 (patient #1) had a light "common knowledge smoking and therefor Staff #1 confirmed the conducted on patient physician's order wow "search his (patient #1 confirmed the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #1 #1's pocket after the restraint episode on word in the items into staff #2 repinformed her that the patient #1 on 3-21-05.	"Timeline of Events" ately 7:00pm patient #1 was n ward 238 secondary to nd "The patient was nhalation by the doctor and n his person". According to t #1 had set the mattress in re. record revealed no off #2 regarding the fire on and items found, or the s' concerns about patient #1 n having a cigarette lighter on conducted with staff #1 on vealed "I was doing my first cks) and I smelled smoke in reported he found contraband ent #1's room, and he turned set. Staff #1 stated "I knew he nter" and that it was ' that patient #1 had been he had matches or a lighter. That a person search was not #1, and reported that a had have been needed to 1's) person". Interview found the lighter in patient fire and during patient #1's	A 204					

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A 204	and found a pack of of that she explained to privileges were revok finding contraband ar According to staff #2 "screaming" about was Staff suggested patie While patient #1 was started pouring out of of a clogged toilet. In #1 stood in the doorw staff cleaned up the vito back up into the Quishe "realized black withe Quiet Room door' Events the Quiet Room point) and as staff op "black smoke came be confirmed that staff pithe supervisor, countrand moved the patien of the ward. Staff #2 the patient (patient #1 him to ward 238 when and restrained". Staff cigarette lighter was fithe restraint episode. time you can do a book is during a restraint eable to provide evided called to obtain an ord that a person search 4) The hospital policy Seizure" was reviewed stated "2. Searches in the staff policy seizure" was reviewed stated "2. Searches in the staff policy seizure" was reviewed stated "2. Searches in the staff policy seizure" was reviewed stated "2. Searches in the provide evident that a person search staff policy seizure" was reviewed stated "2. Searches in the provide evident that a person search staff policy seizure" was reviewed stated "2. Searches in the provide evident that a person search staff policy seizure" was reviewed staff policy seizure.	earched patient #1's room cigarettes. Staff #2 reported patient #1 that his smoking ed for 24 hours due to nd cigarettes in his room. patient #1 began anting his smoke break. Int #1 go to the Quiet Room. In the Quiet Room as a result aterview revealed that patient ay of the Quiet Room as vater. Staff asked patient #1 uiet Room. Staff #2 reported ater was coming out from " (per the "Timeline of om door was closed at this ened the Quiet Room door illlowing out". Staff #2 ulled the fire alarm, notified ed the patients on ward 234, ints outside the double doors reported that "two staff got 1) out of the room and took are the patient was searched if #2 confirmed that a found on patient #2 during Staff #2 stated the "only dy search without an order" pisode. Staff #2 was not ince that a physician was der for a person search or	A	204			

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A 204	patient has in his/her instrument making the or others, and this da According to patient # interviews, the staff h patient #1 had a cigal possession. There w physician's order must person search. On 3 confirmed there was physician's order must person search. There in interview or in the refollowed hospital policifollowed-up on the su	as follows: C. when asonable suspicion that the possession a weapon or expatient dangerous to self anger is imminent". It's medical record and staff ad reasonable suspicion that rette lighter in his as no evidence that a set be obtained to conduct a -29-05, administrative staff	A	204			